

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 19, 2020



**Soliton, Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction of  
incorporation or organization)

**001-38815**  
(Commission File Number)

**36-4729076**  
(I.R.S. Employer Identification No.)

**5304 Ashbrook Drive**  
**Houston, Texas 77081**  
(Address of principal executive offices) (Zip Code)

**Registrant's telephone number, including area code: (844) 705-4866**

**Not Applicable**  
(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Securities registered pursuant to Section 12(b) of the Act:

<u>Title of each class</u>	<u>Trading Symbols(s)</u>	<u>Name of each exchange on which registered</u>
Common stock, par value \$0.001 per share	SOLY	The Nasdaq Stock Market

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**Item 5.07. Submission of Matters to a Vote of Security Holders.**

On June 19, 2020, the Soliton, Inc.'s (the "Company") held its 2020 Annual Meeting of Stockholders (the "Annual Meeting"). As of May 13, 2020, the record date for the Annual Meeting, there were 16,943,578 shares of common stock issued and outstanding and entitled to vote on the proposals presented at the Annual Meeting, of which 14,295,053 shares, or 84.37%, were present in person or represented by proxy, which constituted a quorum. The holders of shares of Company common stock are entitled to one vote for each share held. Set forth below are the final voting results for each of the proposals submitted to a vote of the Company's stockholders at the Annual Meeting:

**Proposal No. 1:**

The Company's stockholders elected Walter V. Klemp, Christopher Capelli, Jonathan P. Foster, Danika Harrison and Bradley Hauser to serve until the 2021 Annual Meeting of Stockholders or until their successors are duly elected and qualified as follows:

	<u>For</u>	<u>Withheld</u>	<u>Broker Non-Votes</u>
Walter V. Klemp	10,344,649	110,758	3,839,646
Christopher Capelli	10,429,511	25,896	3,839,646
Jonathan Foster	10,230,434	224,973	3,839,646
Danika Harrison	10,236,331	219,076	3,839,646
Bradley Hauser	10,235,441	219,966	3,839,646

**Proposal No. 2:**

The Company's stockholders approved the increase in the available shares authorized for issuance under the 2018 Stock Plan from 3,400,000 shares to 4,150,000 shares as follows:

<u>For</u>	<u>Against</u>	<u>Broker Non-Votes</u>	<u>Abstain</u>
9,822,476	612,037	3,839,646	20,894

**Proposal No. 3:**

The Company's stockholders ratified the appointment of Dixon Hughes Goodman, LLP as the Company's registered independent accounting firm for the fiscal year ending December 31, 2020 as follows:

<u>For</u>	<u>Against</u>	<u>Abstain</u>
14,160,074	104,959	30,020

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Signature

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SOLITON, INC.

By: /s/ Lori Bisson \_\_\_\_\_

Lori Bisson

Executive Vice-President,

Chief Financial Officer

Dated: June 22, 2020